

FORM PTO - 1449

## INFORMATION DISCLOSURE STATEMENT

ATTORNEY DOCKET NO.: WMI-004CN1

APPLICANTS: Sarachick, et al.

SERIAL NO.: 09/697,479

FILING DATE: October 26, 2000 GROUP: 2621

## U.S. PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
✓	A1	5,452,372	09/19/95	Shirasaka et al.	382	199	11/22/94
	A2	5,786,855	07/28/98	Chen et al.	348	391	10/26/95
	A3	5,790,269	08/04/98	Masaki et al.	358	447	12/12/95
	A4	5,838,828	11/17/98	Mizuki et al.	382	236	12/12/95
	A5	5,848,352	12/08/98	Dougherty et al.	455	5.1	04/26/9
	A6	5,883,670	03/16/99	Sporer et al.	348	384	08/02/96
	A7	5,912,675	06/15/99	Laperriere	345	473	12/19/96
	A8	5,912,994	06/15/99	Norton et al.	382	283	10/27/95
	A9	5,969,755	10/19/99	Courtney	348	143	02/05/97
	A10	6,016,152	01/18/00	Dickie	345	436	08/01/97
	A11	6,084,588	07/04/00	De Haan	345	419	04/08/97
	A12	6,169,573 B1	01/02/01	Sampath-Kumar et al.	348	169	07/03/97
✓	A13	6,205,231 B1	03/20/01	Isadore-Barreca et al.	382	103	05/10/95

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EXA M. INIT.		DOCUMENT NUMBER	DATE	COUNTR Y CODE	CLASS	SUB CLAS S	FILING DATE	ABSTRACT ONLY	ENGLISH LANG (Y/N)
✓	B1	0 840 241 A1	05/06/98	EP	G06F	17/30	10/23/97	N	Y
	B2	1 051 039 A2	11/08/00	EP	H04N	7/24	04/05/00	N	Y
	B3	96/36007	11/14/96	WO	G06K	9/00	05/10/96	N	Y
	B4	97/22083	06/19/97	WO	G06K	9/00	12/12/96	N	Y
	B5	97/33437	09/12/97	WO	H04N	9/74 9/75	03/06/97	N	Y
	B6	98/11512	03/09/98	WO	G06T	15/70	09/09/97	N	Y
✓	B7	99/52081	10/14/99	WO	G06T	15/70	03/31/99	N	Y

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✓	C14	Tonomura, " Video Handling Based on Structured Information for Hypermedia Systems", pgs. 333-344, In Proceedings International Conference on Multimedia Information Systems, Singapore, 1991	
✓	C15	Vasconcelos et al., "Learning Mixture Hierarchies", 7 pgs., NIPS'98, 1998	
✓	C16	Vass et al., "Automatic Spatio-Temporal Video Sequence Segmentation", pgs. 958-962, Proceedings of the 1998 International Conference on Image Processing, 4-7 October 1998, Chicago, IL, USA, [online], [retrieved from the Internet] <URL: <a href="http://ieexplore.ieee.org/iel4/5852/15617/00723681.pdf">http://ieexplore.ieee.org/iel4/5852/15617/00723681.pdf</a> > , [retrieved on 2001-07-16], abstract	
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Applicant(s)

Jon Dakss

Group Art Unit

2611

Examiner Name

Ngoc K. Vu

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EXAMINER INITIALS	Cite No. <sup>1</sup>	DOCUMENT NUMBER Number - Kind Code <sup>2</sup> (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
✓ <i>WZP</i>		4,016,361	04-05-1977	Pandley
		4,122,477	10-24-1978	Gallo
		4,264,924	04-28-1981	Freeman
		4,264,925	04-28-1981	Freeman et al.
		4,507,680	03-26-1985	Freeman
		4,532,547	07-30-1985	Bennett
		4,573,072	02-25-1986	Freeman
		4,602,279	07-22-1986	Freeman
		4,743,959	05-10-1988	Frederiksen
		4,748,512	05-31-1988	Armstrong
		4,792,849	12-20-1988	McCalley et al.
		4,803,553	02-07-1989	Schrock et al.
		4,811,407	03-07-1989	Blokker, Jr. et al.
		4,829,372	05-09-1989	McCalley et al.
		4,847,698	07-11-1989	Freeman
		4,847,699	07-11-1989	Freeman
		4,847,700	07-11-1989	Freeman
		4,903,317	02-20-1990	Nishihara et al.
		4,941,040	07-10-1990	Pocock et al.
		4,959,718	09-25-1990	Bennett
		5,008,745	04-16-1991	Willoughby
		5,008,751	04-16-1991	Wischermann
		5,014,125	05-07-1991	Pocock et al.
✓		5,045,940	09-03-1991	Peters et al.

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

FORM PTO/SB/08A/B (10-01)  
Substitute for PTO-1449A/B

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✓		5,101,280	03-31-1992	Moranaga et al.
		5,124,811	06-23-1992	Ohsawa et al.
		5,130,792	07-14-1992	Tindell et al.
		5,195,092	03-16-1993	Wilson et al.
		5,208,665	05-04-1993	McCalley et al.
		5,267,333	11-30-1993	Aono et al.
		5,347,322	09-13-1994	Levine et al.
		5,404,173	04-04-1995	Parrish et al.
		5,452,006	09-19-1995	Auld
		5,499,050	03-12-1996	Baldes et al.
		5,504,534	04-02-1996	Sakaegi
		5,526,024	06-11-1996	Gaglianella et al.
		5,533,021	07-02-1996	Branstad et al.
		5,541,662	07-30-1996	Adams et al.
		5,583,561	12-10-1996	Baker et al.
		5,585,858	12-07-1996	Harper et al.
		5,590,262	12-31-1996	Isadore-Barreca
		5,600,775	02-04-1997	King et al.
		5,603,058	02-11-1997	Belknap et al.
		5,630,067	05-13-1997	Kindell et al.
		5,632,007	05-02-1997	Freeman
		5,640,601	06-17-1997	Peters
		5,664,354	07-01-1997	Thompson et al.
✓		5,665,050	08-05-1997	Yamamoto et al.

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<i>KZL</i>		5,689,799	11-18-1997	Dougherty et al.
		5,708,845	01-13-1998	Wistendahl et al.
		5,715,176	02-03-1998	Mobini
		5,724,091	03-03-1998	Freeman et al.
		5,754,233	05-19-1998	Takashima
		5,754,250	05-19-1998	Cooper
		5,757,968	05-26-1998	Ando
		5,768,431	06-16-1998	Saunders et al.
		5,771,073	06-23-1998	Lim
		5,774,664	05-30-1998	Hidary et al.
		5,774,666	06-30-1998	Portuesi
		5,805,204	09-08-1998	Thompson et al.
		5,815,634	09-29-1998	Daum et al.
		5,832,121	11-03-1998	Ando
		5,835,146	11-10-1998	Stone
		5,845,083	12-01-1998	Hamadani et al.
		5,861,881	01-19-1999	Freeman et al.
		5,883,633	03-16-1999	Gill et al.
		5,883,975	03-16-1999	Narita et al.
		5,889,746	03-30-1999	Moriyama et al.
		5,903,816	05-11-1999	Broadwin et al.
		5,905,523	05-18-1999	Woodfield et al.
		5,907,322	05-25-1999	Kelly et al.
		5,918,012	06-29-1999	Astiz et al.

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✓ 2/3		5,926,172	07-20-1999	Hanley
		5,930,797	07-27-1999	Hill
		5,933,532	08-03-1999	Mihara
		5,946,318	08-31-1999	Post
		5,949,410	09-07-1999	Fung
		5,949,476	09-07-1999	Pocock et al.
		5,961,603	10-05-1999	Kunkel et al.
		5,969,715	10-19-1999	Dougherty et al.
		5,977,962	11-02-1999	Chapman et al.
		5,977,989	11-02-1999	Lee et al.
		5,978,029	11-02-1999	Boice et al.
		5,990,890	11-23-1999	Etheredge
		5,991,799	11-23-1999	Yen et al.
		5,999,970	12-07-1999	Krisbergh et al.
		6,002,455	12-14-1999	Enomoto et al.
		6,006,241	12-21-1999	Purnaveja et al.
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		6,008,857	12-28-1999	Keery et al.
		6,009,229	12-28-1999	Kawamura
		6,014,693	01-11-2000	Ito et al.
		6,018,372	01-25-2000	Etheredge
		6,018,768	01-25-2000	Ullman et al.
✓		6,023,703	02-08-2000	Hill

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✓		6,038,319	03-14-2000	Chari
		6,040,871	03-21-2000	Andersson
		6,049,539	04-11-2000	Lee et al.
		6,058,430	05-02-2000	Kaplan
		6,067,107	05-23-2000	Travaille et al.
		6,072,839	06-06-2000	Mondal et al.
		6,075,568	06-13-2000	Matsuura
		6,081,299	06-27-2000	Kesselring
		6,084,912	07-04-2000	Reitmeier et al.
		6,118,472	09-12-2000	Dureau et al.
		6,141,002	10-31-2000	Kanungo et al.
		6,148,081	11-14-2000	Syzmanski et al.
		6,151,626	11-21-2000	Tims et al.
		6,169,541 B1	01-02-2001	Smith
		6,172,674 B1	01-09-2001	Etheredge
		6,181,334 B1	01-30-2001	Freeman et al.
		6,204,843 B1	03-20-2001	Freeman et al.
		6,204,885 B1	03-20-2001	Kwoh
		6,215,484 B1	04-10-2001	Freeman et al.
		6,215,526 B1	04-10-2001	Barton
		6,223,211 B1	04-24-2001	Hamilton et al.
		6,229,541 B1	05-08-2001	Kamen et al.
		6,239,815 B1	05-29-2001	Frink et al.
✓		6,314,572 B1	11-06-2001	LaRocca et al.

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✓ <i>RLB</i>		6,341,178 B1	01-22-2002	Parker
		6,357,042 B2	03-12-2002	Srinivasan et al.
		6,415,438 B1	07-02-2002	Blackketter et al.
		6,501,853 B1	12-31-2002	Gregg et al.
		6,501,853 B1	12-21-2002	Gregg et al.
		6,615,408	09-02-2003	Kaiser et al.
		Re 34,340	08-10-1993	Freeman

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✓ <i>RLB</i>		EP 0 498 511 A1	08-12-1992	Moolenaar	
		EP 0 596 823 A2	05-11-1994	Gibson	
		EP 0 596 823 A3	05-11-1994	Gibson	
		EP 0 596 823 B1	05-11-1994	Gibson	
		EP 0 649 102 A2	06-26-1996	Woodfield et al.	
		EP 0 649 102 A3	04-19-1995	Woodfield et al.	
		EP 0 649 102 B1	05-17-2000	Woodfield et al.	
		EP 0 680 213 A2	11-02-1995	Menand et al.	
		EP 0 680 213 A3	05-08-1996	Menand et al.	
		EP 0 680 213 B1	02-16-2000	Menand et al.	
		EP 0 752 786 A1	01-08-1997	Rohatgi et al.	
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		EP 0 834 798 A2	04-08-1998	Stautnet et al.	

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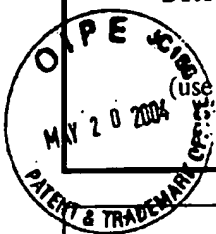
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✓		EP 0 841 610 A2	05-13-1998	Kaufman	
		EP 0 858 225 A1	08-12-1998	Tims et al.	
		EP 0 866 614 A1	09-23-1998	Weston et al.	
		EP 0 920 891 A1	06-09-1999	Walton et al.	
		EP 0 928 105 A1	07-07-1999	Kamada	
		EP 0 954 176 A2	11-03-1999	Dougherty et al.	
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		EP 0 977 389 A2	02-02-2000	Del Sesto et al.	
		EP 0 988 876 A1	03-29-2000	Malaure et al.	
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		EP 1 061 739 A2	12-20-2000	Freeman et al.	
		EP 1 089 279 A2	04-04-2001	Phillips et al.	
		EP 1 098 532 A2	05-09-2001	Harper et al.	
		EP 1 098 533 A2	05-09-2001	Harper et al.	
		EP 1 098 534 A2	05-09-2001	Harper et al.	
		JP 0 717 0410	07-04-1995		
		JP 1 102 7540	01-29-1999	Nobuhito	X
		JP 5 512 4361	09-25-1980	Hiroshi	X
		WO 97/29458	08-14-1997	Freeman et al.	
		WO 97/33434	09-12-1997	Hidary et al.	
		WO 97/37497	10-09-1997	Astiz et al.	

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INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Attorney Docket Number

50788/JEC/G476

Application Number

09/697,479

Filing Date

October 26, 2000

Applicant(s)

Jon Dakss

Group Art Unit

2611

Examiner Name

Ngoc K. Vu

FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>2</sup> - Number <sup>3</sup> - Kind Code <sup>4</sup> (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>5</sup> ( )
✓		WO 98/10586	03-12-1998	Tyson	
		WO 98/18259	04-30-1998	Chapman et al.	
		WO 98/41020	09-17-1998	Freeman et al.	
		WO 98/44435	10-08-1998	Chen et al.	
		WO 98/47084	10-22-1998	Qian	
		WO 98/43437	10-01-1998	Declerck et al.	
		WO 98/51077	11-12-1998	Durst et al.	
		WO 98/57718	12-23-1998	Holmes et al.	
		WO 99/10822	03-04-1999	Efrat et al.	
		WO 99/10871	03-04-1999	Hanley	
		WO 99/23833	05-14-1999	Lawrence et al.	
		WO 99/23825	05-14-1999	Dunn et al.	
		WO 99/23774	05-14-1999	Pennywitt et al.	
		WO 99/49658	09-30-1999	Pleyer et al.	
		WO 99/52282	10-14-1999	Hurst	
		WO 99/52045	10-14-1999	Valdez	
		WO 99/52075	10-14-1999	Wise et al.	
		WO 99/52283	10-14-1999	Hensley et al.	
		WO 99/52290	10-14-1999	Frink et al.	
		WO 99/52294	10-14-1999	Kurtze et al.	
		WO 99/52296	10-14-1999	Sethuraman	
		WO 99/54879	10-28-1999	Gagne	
✓		WO 00/07361	02-10-2000	Mao et al.	

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QKZP		WO 00/13414	03-09-2000	Kamijo et al.	
		WO 00/16544	03-23-2000	Freeman et al.	
		WO 00/18136	03-30-2000	Krishnamurthy et al.	
		WO 00/21207	04-13-2000	Lee	
		WO 00/31972	06-02-2000	Wilcox et al.	
		WO 00/39947	07-06-2000	Stalker	
		WO 00/40004	07-06-2000	Wishoff et al.	
		WO 00/40007	07-06-2000	Aspromonte et al.	
		WO 00/45599	08-03-2000	Rainsford	
		WO 00/46680	08-10-2000	Kamen et al.	
		WO 00/64166	10-26-2000	Gordon et al.	
		WO 01/17256 A1	03-08-2001	Kay et al.	
		WO 01/17257 A1	03-08-2001	Kay et al.	
		WO 01/17258 A1	03-08-2001	Kay et al.	
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		WO 01/17260 A1	03-08-2001	Kay et al.	
		WO 01/17261 A1	03-08-2001	Kay et al.	
		WO 01/24027 A1	04-05-2001	Ullman et al.	
		WO 01/39485 A2	05-31-2001	Hermush et al.	
		WO 01/67388 A2	09-13-2001	Dakss et al.	

OTHER DOCUMENTS

EXAMINER INITIALS	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
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Sheet 9 of 10

**INFORMATION DISCLOSURE  
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Applicant(s)

Jon Dakss

Group Art Unit

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Examiner Name

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**OTHER DOCUMENTS**

EXAMINER INITIALS	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
232		Annex for form PCT/ISA/206, PCT International Search Report for International Application No. PCT/US01/10641, mailed on September 12, 2001
		Co-pending U.S. Application No 09/943,583, filed August 30, 2001, entitled METHOD AND APPARATUS FOR HYPERLINKING INA TELEVISION BROADCAST
		Co-pending U.S. Application No. 09/715,944, filed November 17, 2000, entitled METHOD AND APPARATUS FOR RECEIVING A HYPERLINKED TELEVISION BROADCAST
		Co-pending U.S. Application No. 09/695,900, filed October 25, 2000, entitled METHOD AND APPARATUS FOR INTERACTION WITH HYPERLINKS IN A TELEVISION BROADCAST
		Co-pending U.S. Patent Application No. 09/747,677; filed December 22, 2000, entitled Entitled: A Method and Apparatus for Switching Between Multiple Programs by Interacting with a Hyperlinked Television Broadcast
		Co-pending U.S. Patent Application No. 09/697,775; filed October 26, 2000, entitled METHOD AND APPARATUS FOR GENERATING DATA STRUCTURES FOR A HYPERLINKED TELEVISION BROADCAST
		Co-pending U.S. Patent Application No. 09/697,483, filed October 26, 2000, entitled METHOD AND APPARATUS FOR ENCODING VIDEO HYPERLINKS
		Co-pending U.S. Patent Application No. 09/697,774, filed October 26, 2000, entitled: METHODS FOR OUTLINING AND FILLING REGIONS IN MULTI-DIMENSIONS ARRAYS
		Nakanishi; <i>An Image Reduction Method Using MR Codes</i> ; pp. 1-10, Systems and Computer in Japan; Vol. 28, No. 7; 1997
		PCT International Search Report for International Application No. PCT/US01/06351, mailed on August 20, 2001
		PCT International Search Report for International Application No. PCT/US01/06458, mailed on August 20, 2001
		PCT International Search Report for Internati onal Application No. PCT/US01/06461, mailed on August 20, 2001
		PCT International Search Report for International Application No. PCT/US01/27046, mailed on November 14, 2001

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Attorney Docket Number	50788/SAH/G476
Application Number	09/697,479
Filing Date	October 26, 2000
Applicant(s)	Jon Dakss, et al.
Group Art Unit	2611
Examiner Name	Ngoc K. Vu

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**U.S. PATENT DOCUMENTS**

EXAMINER INITIALS	Cite No. <sup>1</sup>	DOCUMENT NUMBER Number - Kind Code <sup>2</sup> (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
KRL		5,541,602	07-30-1996	Opris et al.
↓		6,473,804 B1	10-29-2002	Kaiser et al.

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**FOREIGN PATENT DOCUMENTS**

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EXAMINER INITIALS	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	† (✓)

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Attorney Docket Number

50788/SAH/G476

Application Number

09/697,479

Filing Date

October 26, 2000

Applicant(s)

Karen Sarachik, et al.

Group Art Unit

2611

Examiner Name

Ngoc K. Vu

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. <sup>1</sup>	DOCUMENT NUMBER Number - Kind Code <sup>2</sup> (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
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OTHER DOCUMENTS

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✓ 232		TSUNASHIMA, KENJI et al., An Integrated DTV Receiver for ATSC Digital Television Standard, 1998 IEEE, 0-7803-4357-3/98, pp. 148-149, Mitsubishi Electric Corporation, Kyoto, Japan
232		BENSON, K. BLAIR (Editor and Coauthor), Television Engineering Handbook, 3 sheets, Copyright 1992, 1986 by McGraw-Hill, Inc., New York, New York

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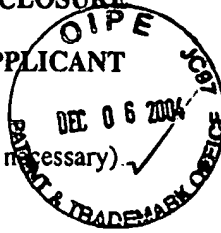
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Sheet 1 of 1

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Attorney Docket Number	50788/SAH/G476
Application Number	09/697,479
Filing Date	October 26, 2000
Applicant(s)	Karen Sarachik, et al.
Group Art Unit	2611
Examiner Name	N/A

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. <sup>1</sup>	DOCUMENT NUMBER Number - Kind Code <sup>2</sup> (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
✓ JBL ↓		5,371,519	12-06-1994	Fisher
		6,400,831 B2	06-04-2002	Lee et al.
		6,614,930 B1	09-02-2003	Agnihotri et al.

FOREIGN PATENT DOCUMENTS

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